

Guidance on Exception Reports for Revalidation

During your time in training you may find yourself involved in a significant event, named in a complaint or involved in an investigation process. These matters are reported to Health Education England, working across Yorkshire and the Humber, as part of the revalidation process via an Exception Report form. If you are involved in any of the above, you will be given a copy of the Exception Report by the Trust at the same time that the report is submitted to us.

Personal and Professional Support

Involvement in significant events and their later investigation can be stressful and there are several documents giving guidance on this on our website.

<http://www.yorksandhumberdeanery.nhs.uk/media/292722/201404%20SIs%20Policy%20FINAL.pdf>
and

http://www.yorksandhumberdeanery.nhs.uk/pgmde/policies/trainee_support/coroners_inquests/

Please ensure that you seek pastoral support (if needed) during any investigation process, whether from your educational supervisor, Training Programme Director, Head of School, or the local Director of Medical Education. If you have concerns about an investigation that you are part of and need additional advice in regards to revalidation please email revalidation@yh.hee.nhs.uk

Your responsibilities

If you are involved in a significant event, complaint or an investigation process, you must ensure that you declare this on the Form R Part B which is sent to you ahead of your ARCP/RITA panel. Declaring your involvement in incidents is a professional responsibility and forms part of your learning. You must ensure that you reflect on these events in your e-portfolio, so that the ARCP panel can review and consider this. These reflections also form part of the evidence that is reviewed when making your revalidation recommendation.

It is important to stress that your involvement in an incident will not prevent you from being recommended for revalidation – we have now received more than 600 Exception Reports on trainee incidents, and none of these, taken in isolation, have led to a trainee not being recommended for revalidation. A small number of trainees have been named in more than one Exception Report, and for these trainees reflection on the events is particularly important.